#### **AMENDMENT**

#### IN THE SPECIFICATION:

Please amend paragraph 3 as follows:

Known disc brakes 10 (shown in Figures 1 to 3) include a disc or rotor 20 mounted to a wheel hub for rotation with a vehicle wheel. A brake carrier 12 is fixed relative to the axis of rotation of the rotor 20 and is secured to a non-rotating portion of the vehicle (e.g. the vehicle suspension). In "floating caliper" type brakes, a brake caliper 15 including a bridge 16 secured to a housing 14 is slidably mounted on the <u>brake</u> carrier 12 to allow for movement parallel to the axis of rotation of the rotor 20. An actuator 18 communicates with one or more pistons or tappets (not shown) provided in the housing 14 to apply the force required for the brake to function.

## Please amend paragraph 4 as follows:

A pair of brake pads 22 including friction material 36 mounted to a solid backplate 34 are positioned on either side of the rotor 20. The friction material 36 faces the planar faces of the rotor 20. The backplates 34 of the brake pads 22 are seated on vertical and horizontal abutment regions 28 and 30, respectively, provided in openings 32 in the <u>brake</u> carrier 12 to restrain the brake pads 22 from rotational and radially inward movement, respectively. In a typical "floating caliper" type brake, one of the backplates 34 engages with the piston(s), either directly or via a spreader plate, to distribute the load. The actuator 18 causes the piston to push one of the brake pads 22 toward the rotor 20 to achieve braking. Because the caliper is able to "float" on the <u>brake</u> carrier 12, an equal frictional braking load is applied by both the brake pads 22.

# Please amend paragraph 5 as follows:

The backplates 34 of the vehicle disc brake pads 22 perform two functions. First, the brake pads 22 provide a solid support for slidably mounting the friction material 36 of the brake within the brake carrier 12 to transmit the shear loads induced on the friction material 36 during braking to the <u>brake</u> carrier 12. Second, the brake pads 22 transmit and evenly distribute the pressure applied by the brake tappets or the pistons during braking to the surface of the friction material 36 to ensure even wear of the friction material 36. To perform the functions, resilient members (such as a leaf type pad springs 24) are commonly used to restrain radially outward movement of the brake pads 22 in the <u>brake</u> carrier 12 while permitting movement towards and away from an associated brake disc and to prevent rattling of the brake pad 22 in use.

#### Please amend paragraph 6 as follows:

The pad springs 24 are typically elongate and, when fitted, extend along a portion of the radially outermost face of the backplate 34. The pad springs 24 are typically pre-loaded to a certain extent against the <u>brake</u> carrier 12 by a pad strap 26, which spans an opening between the bridge 16 and the housing 14 and contacts the approximate center of the pad spring 24. This force is typically reacted radially outwardly by contact of the backplate 34 with each end of the pad spring 24. Formations are also typically provided on the backplate 34 and/or the pad spring 24 to retain the pad spring 24 on the backplate 34 during movement of the brake pad 22 parallel to the axis of rotation of the rotor 1420.

# Please amend paragraph 7 as follows:

Figure 3 illustrates a prior art backplate 34 and pad spring 24 disclosed in EP 0248385 (Lucas Industries PLC). The backplate 34 includes circumferentially inward facing abutment portions 40 on the radially outer face that retain inwardly curved ends 42 of the pad spring 24. The pad spring 24 is held down by athe pad strap 26 positioned at a central portion 48 of the pad spring 24. Radially inward projecting ears 46 located between the inwardly curved ends 42 and the central portion 48 of the pad spring 24 retain the pad spring 24 on the backplate 34 during sliding of the backplate 34 toward and away from the rotor 20. Because the pad spring 24 is restrained at its inwardly curved ends 42, radially inward deflection of the central portion 48 only occurs as a result of elastic buckling of the pad spring 24 (i.e., portions of the pad spring 24 intermediate the inwardly curved ends 42 and the central portion 48 must deflect radially outwardly as the centercentral portion 48 deflects radially inwardly). Therefore, the pad spring 24 has a high spring rate (a high load per unit deflection of the central portion 48 of the pad spring 24 radially inwardly). This is advantageous because the tendency of the backplate 1234 to rattle within the brake carrier 12 is reduced, reducing noise and wear. However, the force required to slide the backplate 34 toward the brake disc 10 is increased, requiring a relatively high load to slide the backplate 34 towards the rotor 20 and an increased load to slide the caliper relative to the brake carrier 12.

## Please amend paragraph 20 as follows:

Figures 4 and 5 illustrate the disc brake pad backplate assembly 133 according to one embodiment of the present invention. The disc brake pad backplate assembly 133 is capable of being fitted into the prior art disc brake 10 described above. The disc brake pad backplate assembly 133 includes a backplate 134 to which friction material (not shown) is secured. The backplate 134 includes a pair of circumferentially spaced abutments 140 on a radially outer face 135. Pad spring retaining features, such as a pair of radially outwardly extending lugs 150, also project from the radially outer face 135 of the backplate 134 and are located between circumferentially spaced abutments 140.

## Please amend paragraph 21 as follows:

As shown in Figure 4, a pad spring 124 is fitted to the backplate 134. The pad spring 124 is elongate and has a central regionportion 148 and end regions 149. When fitted to the backplate 134, the pad spring 124 extends in the circumferential direction transverse to the axis of movement Y-Y of the backplate 134 towards and away from the rotor 20 when in use. The pad spring 124 includes upturned ends 142 and two of slots 152 in the end regions 149 that the lugs 150 fit through. The circumferentially spaced abutments 140 on backplate 134 are shaped to prevent the upturned ends 142 of the pad spring 124 from sliding over them. A pad strap 126 retains the central portion 148 of the pad spring 124.

#### Please amend paragraph 22 as follows:

Prior to fitting the pad spring 124 onto the lugs 150, the pad spring 124 has an arcuate profile with a shorter radius of curvature than shown in Figure 5. To fit the pad spring 124 to the backplate 134, the pad spring 124 must be compressed by a certain amount for the slots 152 to fit over both the lugs 150. Once fitted, a certain amount of relaxation occurs and the circumferentially outermost edge of the slots 152 contact the radially outermost face of the lugs 150, retaining the pad spring 124 on the backplate 134 before the disc brake pad backplate assembly 133 is mounted in the disc brake 10. In other embodiments, the lugs 150 may not perform this circumferential retaining function. The height of the central portion 148 of the pad spring 124 when fitted is represented by the line-position 160.

## Please amend paragraph 23 as follows:

In Figure 5, the disc brake pad backplate assembly 133 is shown in its assembled state on the disc brake 10 with the pad strap 126 in place. The pad strap 126 depresses the central portion 148 of the pad spring 124 such that the circumferentially outermost edges of the slots 152 no longer contact the lugs 150, creating a space between the circumferentially innermost edges of holesthe slots 152 and the lugs 150. This assembled state corresponds to the line deflection position 162 in which there is a distance S between the pad strap 126 and the radially outer face 135 of the backplate 134.

#### Please amend paragraph 24 as follows:

Referring to Figure 6, the load-deflection characteristics of the pad spring 124 are shown as the line 168, the load-deflection characteristics of a pad spring 124 with restrained ends (e.g., the assembly of EP 0248385) are shown by the line 170, and the characteristics of a pad spring 124 with unrestrained ends (e.g., the assembly of WO 92/00465) are illustrated by the line 172. The load and deflection of each spring, when fitted to their respective backplate 34, but not assembled in the brake (i.e., at linethe position 160) are taken as the datum point. From zero load and deflectionat the position 160 up until the assembled deflection position 162, the spring rate of the pad spring 124 is relatively low.

# Please amend paragraph 25 as follows:

When the backplate 134 is subjected to radially outward accelerative loads (for example, when a vehicle is travelling over uneven terrain), the loads cause the distance S between the <u>pad</u> strap 126 and the central region 144 of the backplate 134 to decrease. This causes the <u>pad</u> spring 124 to straighten along its length, and the <u>upturned</u> ends 142 to slide circumferentially outwardly upwardly until the <u>upturned</u> ends 142 contact the <u>circumferentially spaced</u> abutments 140. This constitutes a first "leaf spring" mode of operation of the pad spring 124, similar to the mode of operation of the prior art backplate assembly disclosed in WO 92/00465. At this point, the deflection <u>position</u> 164 is reached.

## Please amend paragraph 26 as follows:

Further deflections towards the radially outermost outer face 135 of the backplate 134 up to the position 166 have a significantly higher spring rate due to the pad spring 124 entering a second elastic "buckling" mode of deflection in which the central portion 148 continues to straighten, but the portions intermediate the central portion 148 and the upturned ends 142 are forced to curve away from the radially outer face 135.

Please amend paragraph 27 as follows:

Operation using the above two modes provides for a low slide load of the backplate 134 against the carrier and of the caliper relative to the carrier under normal operation, but increased loads to minimize rattling when the backplate 134 is subjected to high radial accelerations, minimizing noise and wear of both the backplate 134 and the vertical and horizontal abutment portions 28 and 30, respectively, of the brake carrier 12. Prior springs have an increased sliding force requirement at the fitted-deflection position 162 (the line 170) and have a low spring rate at more extreme deflections that in turn may lead to increased wear and noise (the line 172).